

MONTHLY STATUS REPORT FOR MONETARY PENALTY – DECEMBER 2024

Details of Penalty Levied on DPs:

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
11800	WALLFORT FINANCIAL SERVICES LIMITED	100	PAN Modification
12101	SURESH RATHI SECURITIES PRIVATE LIMITED - JODHPUR	300	PAN Modification
12800	DINDAYAL BIYANI STOCK BROKERS LIMITED	200	DIS not upload
12800	DINDAYAL BIYANI STOCKBROKERS LIMITED	5,000	Inspection
12900	R N PATWA SHARE & STOCKBROKERS (P) LTD	5,000	Inspection
13200	ASIT C. MEHTA INVESTMENT INTERMEDIATES LIMITED	300	PAN Modification
13700	MEHTA EQUITIES LIMITED	200	PAN Modification
13800	BANK OF MAHARASHTRA	600	DIS not upload
13800	BANK OF MAHARASHTRA	15,000	Inspection
13800	BANK OF MAHARASHTRA	100	PAN Modification
14200	JANATA SAHAKARI BANK LIMITED, PUNE	900	DIS not upload
14301	ICICI BANK LIMITED	1,600	DIS not upload
14400	IIFL SECURITIES LIMITED	100	PAN Modification
15400	THE GREATER BOMBAY CO-OPERATIVE BANK LIMITED	300	DIS not upload
16700	VSE STOCK SERVICES LIMITED	200	PAN Modification
17000	INDIRA SECURITIES PRIVATE LIMITED	1,000	DIS not upload
17400	MSE FINANCIAL SERVICES LIMITED	100	PAN Modification
17500	KANTILAL CHHAGANLAL SECURITIES PRIVATE LIMITED	200	DIS not upload
17600	ICICI BANK LIMITED -JAIPUR MAIN BRANCH	600	DIS not upload
18700	BOB CAPITAL MARKETS LIMITED	200	PAN Modification
18900	B R JALAN SECURITIES PRIVATE LIMITED	200	DIS not upload

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
19101	SMC GLOBAL SECURITIES LIMITED - NEW DELHI	400	DIS not upload
19200	C.R.KOTHARI AND SONS SHARES & STOCK BROKERS PRIVATE LIMITED	600	DIS not upload
24400	MONEYCARE SECURITIES AND FINANCIAL SERVICES LIMITED	200	DIS not upload
24700	MANGAL KESHAV FINANCIAL SERVICES LLP	100	PAN Modification
25000	M PRASAD & CO. LIMITED	1,800	DIS not upload
25900	UNION BANK OF INDIA	3,300	DIS not upload
28900	SUSHIL FINANCIAL SERVICES PRIVATE LIMITED	300	PAN Modification
29200	JSEL SECURITIES LIMITED	300	DIS not upload
29900	INDIABULLS SECURITIES LIMITED	100	PAN Modification
30400	TRADESMART FINTECH SECURITIES LIMITED	400	DIS not upload
31600	KALPATARU MULTIPLIER LIMITED	100	PAN Modification
32600	MANALI TRADING & HOLDINGS PRIVATE LIMITED	1,800	DIS not upload
32600	MANALI TRADING & HOLDINGS PRIVATE LIMITED	15,000	Inspection
32800	DBFS SECURITIES LIMITED	400	DIS not upload
33200	ANGEL ONE LIMITED	200	PAN Modification
33500	BONANZA PORTFOLIO LIMITED	15,000	Inspection
34500	ASHIKA STOCK BROKING LIMITED	100	PAN Modification
35200	KELLTON SECURITIES PRIVATE LIMITED	1,000	DIS not upload
35300	RAJCHANDRA CAPITAL SERVICES PRIVATE LIMITED	300	DIS not upload
36700	ELIXIR EQUITIES PRIVATE LIMITED	900	DIS not upload
38000	SHARE INDIA SECURITIES LIMITED	200	DIS not upload
38000	SHARE INDIA SECURITIES LIMITED	200	PAN Modification
38200	MARKET CREATORS LIMITED	600	DIS not upload
38200	MARKET CREATORS LIMITED	25,000	Inspection
41500	JAINAM BROKING LIMITED	100	PAN Modification
42100	KHAJANCHI & GANDHI STOCK BROKING PRIVATE LIMITED	300	DIS not upload

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42100	KHAJANCHI & GANDHI STOCK BROKING PRIVATE LIMITED	25,000	Inspection
43000	ARIHANT CAPITAL MARKETS LIMITED	300	DIS not upload
43200	BALJIT SECURITIES PRIVATE LIMITED	5,000	Inspection
44400	MARFATIA STOCK BROKING PRIVATE LIMITED	1,200	DIS not upload
44800	NNM SECURITIES PRIVATE LIMITED	4,600	DIS not upload
45300	TRANSGLOBAL SECURITIES LIMITED	100	PAN Modification
45800	BHUMIKA CONSULTANCY PRIVATE LIMITED	100	PAN Modification
46700	ADROIT FINANCIAL SERVICES PRIVATE LIMITED	200	DIS not upload
47200	SBICAP SECURITIES LIMITED	500	PAN Modification
47400	CROSSEAS CAPITAL SERVICES PRIVATE LIMITED	200	DIS not upload
47500	AMBIT CAPITAL PRIVATE LIMITED	100	PAN Modification
47700	NORTHEAST BROKING SERVICES LIMITED	100	PAN Modification
47800	MANASHVI SECURITIES LIMITED	25,000	Inspection
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	600	DIS not upload
50900	INDO THAI SECURITIES LIMITED	25,000	Inspection
51000	MITHUN SECURITIES PRIVATE LIMITED	300	DIS not upload
52200	BHH SECURITIES PRIVATE LIMITED	300	DIS not upload
52200	BHH SECURITIES PRIVATE LIMITED	5,000	Internal Audit
52900	ADINATH CAPITAL SERVICES LIMITED	25,000	Inspection
56600	CAREGROWTH BROKING PRIVATE LIMITED	200	DIS not upload
58100	PUNE E STOCK BROKING LIMITED	400	DIS not upload
58200	SHRI PARASRAM HOLDINGS PRIVATE LIMITED	200	Inspection

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58200	SHRI PARASRAM HOLDINGS PRIVATE LIMITED	1,000	Inspection
58300	NAVJEEVAN EQUITY BROKING PRIVATE LIMITED	200	DIS not upload
59100	LAKSHMISHREE INVESTMENT & SECURITIES PRIVATE LIMITED	1,800	DIS not upload
59100	LAKSHMISHREE INVESTMENT & SECURITIES PRIVATE LIMITED	100	PAN Modification
59100	LAKSHMISHREE INVESTMENT & SECURITIES PRIVATE LIMITED	25,000	Thematic Inspection
59300	FORT SHARE BROKING PRIVATE LIMITED	200	DIS not upload
59400	FAST CAPITAL MARKETS LIMITED	100	PAN Modification
62000	SS CORPORATE SECURITIES LIMITED	300	DIS not upload
62800	SUNSHINE STOCK BROKING PRIVATE LIMITED	600	DIS not upload
63500	O3 SECURITIES PRIVATE LIMITED	600	DIS not upload
63800	PRUDENT BROKING SERVICES PRIVATE LIMITED	300	DIS not upload
64400	ALLWIN SECURITIES LIMITED	600	DIS not upload
65900	TRADESWIFT BROKING PRIVATE LIMITED	600	DIS not upload
66900	CHOICE EQUITY BROKING PRIVATE LIMITED	8,100	DIS not upload
67800	JRK STOCK BROKING PRIVATE LIMITED	200	DIS not upload
69000	MOTISONS SHARES PRIVATE LIMITED	600	DIS not upload
69400	BHAIJEE PORTFOLIO PRIVATE LIMITED	900	DIS not upload
70200	TRADEBULLS SECURITIES PRIVATE LIMITED	100	PAN Modification
70400	MULTIGAIN SECURITIES SERVICES PRIVATE LIMITED	1,400	DIS not upload
71200	KNA SHARE BROKERS PRIVATE LIMITED	1,000	DIS not upload

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71300	A C AGARWAL SHARE BROKERS PRIVATE LIMITED	900	DIS not upload
72800	CHUKNOO SECURITIES LIMITED	2,400	DIS not upload
74200	VISHWAS FINCAP SERVICES PRIVATE LIMITED	300	DIS not upload
74200	VISHWAS FINCAP SERVICES PRIVATE LIMITED	5,000	Inspection
74300	ATS SHARE BROKERS PRIVATE LIMITED	15,000	Inspection
75200	MONEYPALM BROKERS PRIVATE LIMITED	200	Inspection
75200	MONEYPALM BROKERS PRIVATE LIMITED	1,000	Inspection
75700	PRB SECURITIES PRIVATE LIMITED	400	DIS not upload
76400	WEALTH DISCOVERY SECURITIES PRIVATE LIMITED	600	DIS not upload
78000	VRAMATH FINANCIAL SERVICES PRIVATE LIMITED	200	DIS not upload
79300	MUTHOOT FINCORP LIMITED	200	DIS not upload
79700	F R RATNAGAR AND COMPANY PRIVATE LIMITED	100	PAN Modification
79800	ADWEALTH STOCK BROKING PRIVATE LIMITED	5,000	Inspection
80300	FORTUNE CAPITAL SERVICES PRIVATE LIMITED	200	DIS not upload
81700	PURE BROKING PRIVATE LIMITED	300	DIS not upload
81800	UPSTOX SECURITIES PRIVATE LIMITED	1,400	DIS not upload
83000	JBS SECURITIES PRIVATE LIMITED	1,200	DIS not upload
84500	EQUIRUS SECURITIES PRIVATE LIMITED	200	DIS not upload
86600	PENTAD SECURITIES PRIVATE LIMITED	15,000	Inspection
86600	PENTAD SECURITIES PRIVATE LIMITED	100	PAN Modification
87400	PLUSWEALTH CAPITAL MANAGEMENT LLP	300	DIS not upload

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87700	GANESH STOCKINVEST PRIVATE LIMITED	5,000	Inspection
88100	BgSE FINANCIALS LIMITED	200	DIS not upload
88400	RELITRADE STOCK BROKING PRIVATE LIMITED	900	DIS not upload
88700	GROWW INVEST TECH PRIVATE LIMITED	600	DIS not upload
89400	FYERS SECURITIES PRIVATE LIMITED	3,000	Internal Audit
90000	ESPRESSO FINANCIAL SERVICES PRIVATE LIMITED	15,000	Inspection
90400	PRL STOCK & SHARE BROKERS PRIVATE LIMITED	200	DIS not upload
90600	PRUDENT CORPORATE ADVISORY SERVICES LIMITED	2,400	DIS not upload
93000	ORACLE SECURITIES PRIVATE LIMITED	5,000	Inspection
93300	M S N SECURITIES PRIVATE LIMITED	400	DIS not upload
94100	GROWTH SECURITIES PRIVATE LIMITED	400	DIS not upload
94800	TORUS FINANCIAL MARKETS PRIVATE LIMITED	25,000	Inspection
95800	GYANDEEP STOCKS PRIVATE LIMITED	1,200	DIS not upload
95800	GYANDEEP STOCKS PRIVATE LIMITED	25,000	Inspection
96100	BONDBAZAAR SECURITIES PRIVATE LIMITED	600	DIS not upload
96800	WEALTHYIN BROKING PRIVATE LIMITED	25,000	Inspection
98100	SB PATIL SECURITIES PRIVATE LIMITED	2,000	Audited Financial Statement
98100	SB PATIL SECURITIES PRIVATE LIMITED	1,000	Internal Audit
98100	SB PATIL SECURITIES PRIVATE LIMITED	4,000	Networth Certificate

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
99000	MALIRAM MAKHARIA FINSTOCK PRIVATE LIMITED	400	DIS not upload
99600	FINDOC INVESTMART PRIVATE LIMITED	10,000	Inspection

Details of Penalty Levied on RTAs/ Issuers directly connected to depository:

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
296	SANWARIA CONSUMER LIMITED	10,500	RTA Internal Audit Report Non-Submission of Report and IAR compliance not submitted
384	INDO MONEY SECURITIES PRIVATE LIMITED	2,000	RTA Internal Audit Report compliance not submitted.